

# Disponibilité des résultats d'essais: intérêt des registres

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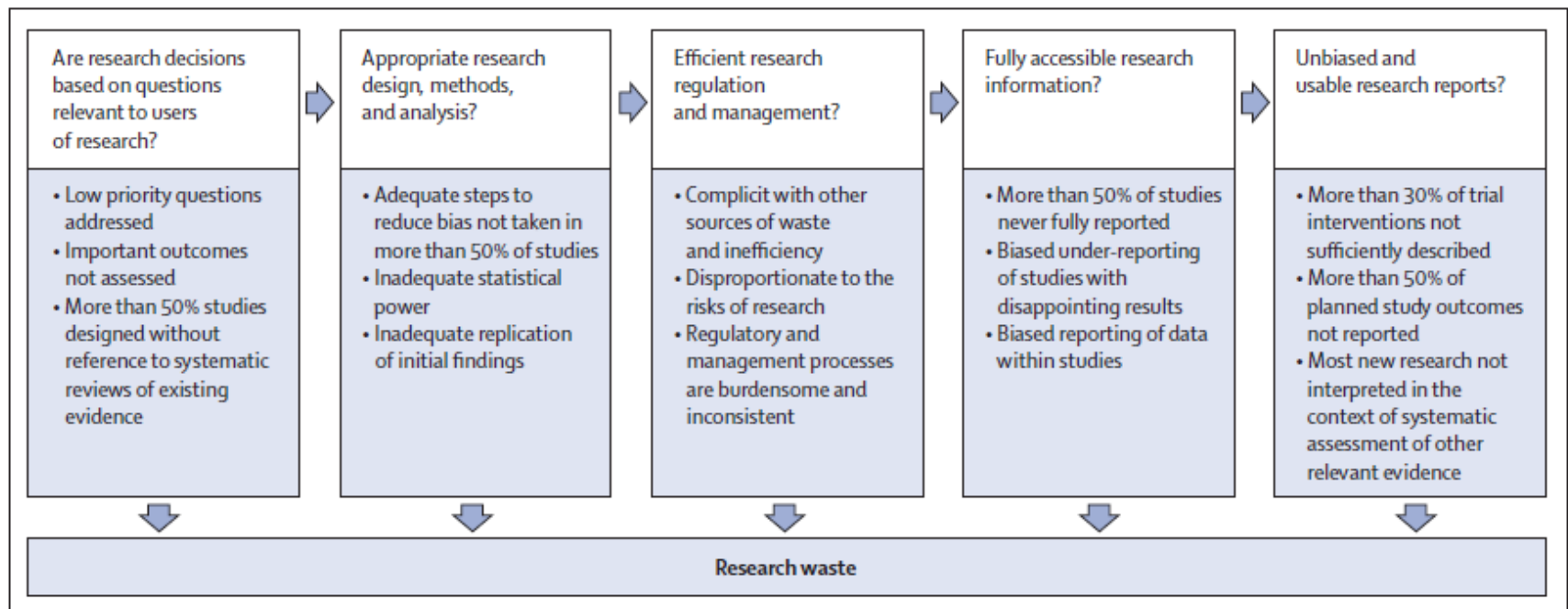
INSERM U1153

Centre de Recherche Epidemiologie et  
Statistique Sorbonne Paris Cité

# Biomedical research: increasing value, reducing waste

“Without accessible and usable reports, research cannot help patients and their clinicians.”

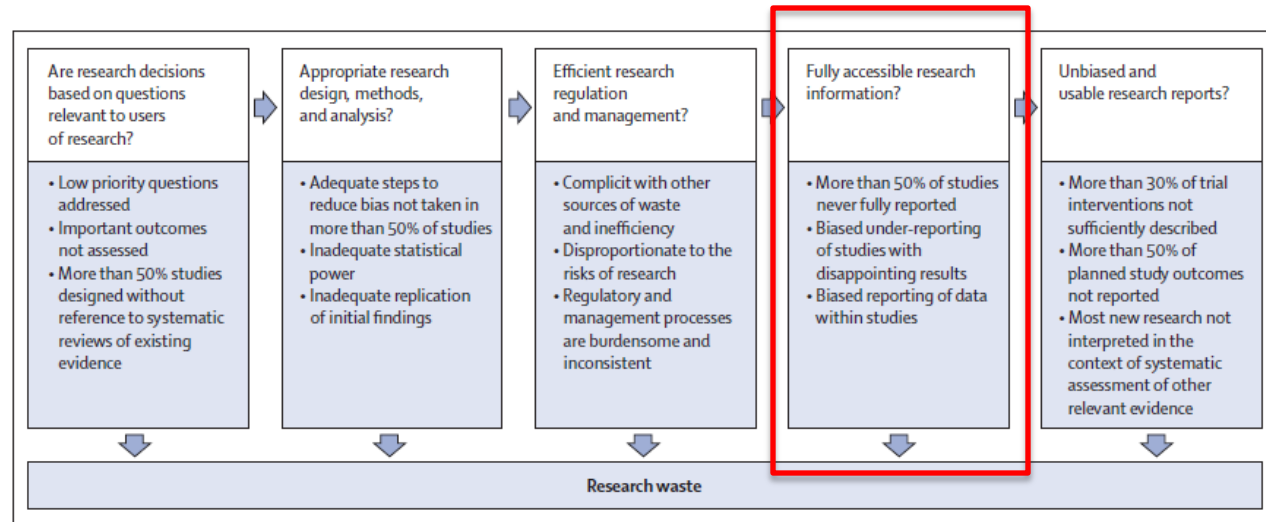
Chalmers et Glasziou., *Lancet*, 2009



Macleod et al., *Lancet*, 2014

# Underreporting of trial results

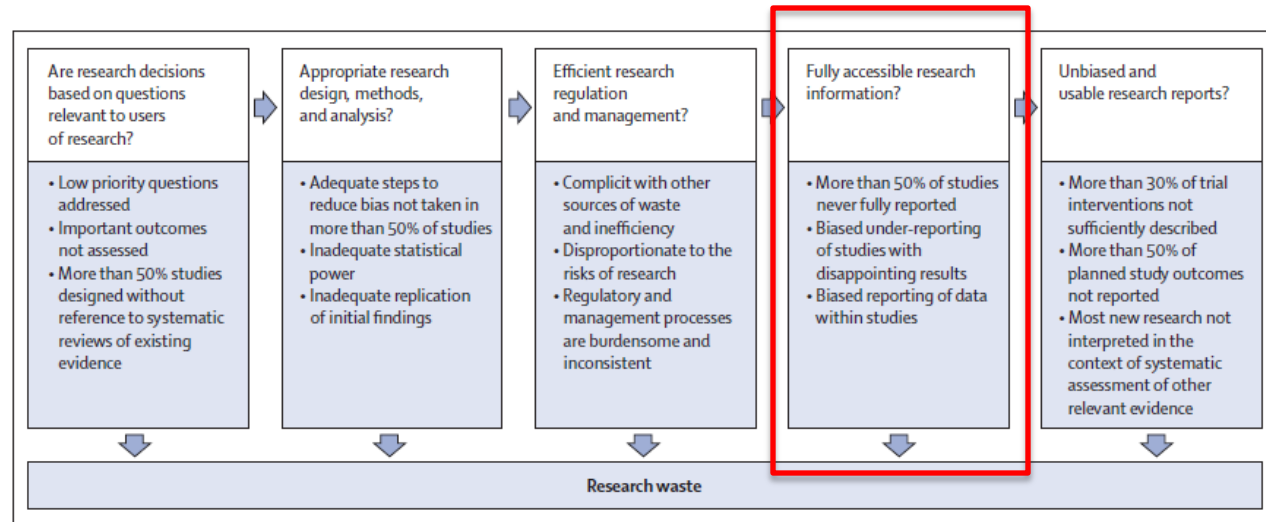
- Non publication de certains résultats (principalement s'ils sont négatifs)
- Publication retardée de certains résultats (principalement s'ils sont négatifs)
- Présentation sélective des résultats de certains critères de jugement (surtout s'ils sont positifs)
- Résultats incomplètement rapportés dans la publication



Macleod et al., *Lancet*, 2014

# Underreporting of trial results

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Macleod et al., *Lancet*, 2014

• Résultats incomplètement rapportés dans la publication



Impossible de combiner les résultats dans une méta-analyse sur données résumées



# Données nécessaires pour la méta-analyse

## Nombre de patients analysés par bras et:

- Critère de jugement binaire (ex: mortalité à 28 jours)
  - Nombre de patients ayant eu l'évènement dans chaque bras
- Critère de jugement continu (ex: douleur mesurée par EVA)
  - Moyenne (écart-type) dans chaque bras
- Critère de jugement censuré (ex: mortalité)
  - Hazard ratio (IC 95%) ou nombre d'évènements

# Exemple : critère binaire

**Table 4.** Frequency of Fatal Events in 299 Patients with Septic Shock\*

Variable	No. (%)		Adjusted OR (95% CI)	P Value
	Placebo	Steroids		
Nonresponders				
No. of patients	115	114		
28-day mortality	73 (63)	60 (53)	0.54 (0.31-0.97)	.04
ICU mortality	81 (70)	66 (58)	0.50 (0.28-0.89)	.02
Hospital mortality	83 (72)	70 (61)	0.53 (0.29-0.96)	.04
1-Year mortality	88 (77)	77 (68)	0.57 (0.31-1.04)	.07
Responders				
No. of patients	34	36		
28-Day mortality	18 (53)	22 (61)	0.97 (0.32-2.99)	.96
ICU mortality	20 (59)	24 (67)	0.99 (0.31-3.16)	.99
Hospital mortality	20 (59)	25 (69)	1.20 (0.38-3.76)	.75
1-Year mortality	24 (71)	25 (69)	0.70 (0.20-2.40)	.57
All Patients				
No. of patients	149	150		
28-Day mortality	91 (61)	82 (55)	0.65 (0.39-1.07)	.09
ICU mortality	101 (68)	90 (60)	0.61 (0.37-1.02)	.06
Hospital mortality	103 (69)	95 (63)	0.67 (0.40-1.12)	.12
1-Year mortality	112 (75)	102 (68)	0.62 (0.36-1.05)	.08

\*Data are based on patient responses to a short corticosteroid test. Values represent adjusted, unadjusted responses. 149

# Exemple : critère continu

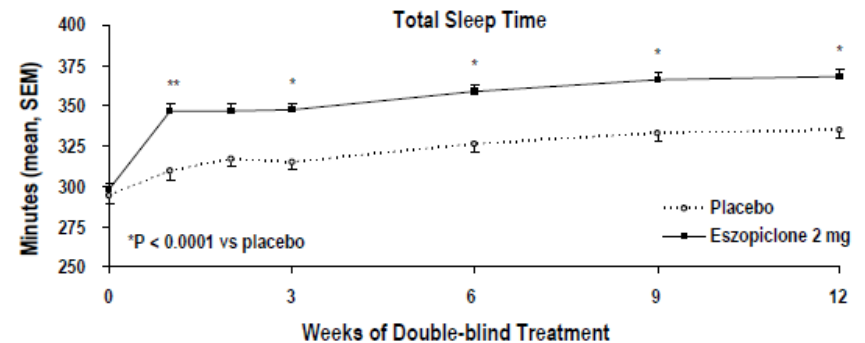
Variable	Valeur initiale (J0)		Mois 6 (M6)		Analyse statistique		
	Groupe de traitement*		Groupe de traitement*		Différence inter-groupe finale	p	Intervalle de confiance à 95% de la Δ finale
	IAS (n = 84)	Placebo (n = 78)	IAS (n = 84)	Placebo (n = 78)			
Index algofonctionnel de Lequesne†							
coxarthrose (n = 50)	9.4 ± 0.4	9.3 ± 0.5	7.5 ± 0.6	9.9 ± 0.7	-2.5 ± 0.9	< 0.01	[- 4.3 ; - 0.6]
gonarthrose (n = 112)	10.1 ± 0.3	9.6 ± 0.3	6.1 ± 0.4	7.9 ± 0.4	-1.8 ± 0.6	0.003	[- 3.0 ; - 0.6]
tous les patients	9.7 ± 0.3	9.4 ± 0.3	6.8 ± 0.4	8.9 ± 0.4	-2.1 ± 0.5	< 0.001	[- 3.2 ; - 1.0]
Douleur sur EVA† (mm) :							
coxarthrose	55.8 ± 2.6	56.7 ± 3.2	37.5 ± 3.7	51.3 ± 4.5	-13.8 ± 5.8	0.02	[- 25.3 ; - 2.3]
gonarthrose	56.5 ± 1.9	55.4 ± 1.9	33.0 ± 2.7	40.1 ± 2.6	-7.1 ± 3.8	0.07	[- 14.6 ; + 0.5]
tous les patients	56.1 ± 1.6	56.1 ± 1.8	35.3 ± 2.3	45.7 ± 2.6	-10.4 ± 3.5	0.003	[- 17.3 ; - 3.6]

A moins d'une autre indication, les valeurs sont exprimées en moyennes et déviation standard de la moyenne. † Analyse statistique par analyse de covariance ajustée pour le facteur localisation de l'arthrose. ‡ Analyse statistique par le test de Mantel-Haenszel ajusté pour le facteur localisation de l'arthrose.

# Souvent plus difficile (surtout pour des critères continus)

Critère de jugement principal:

Mean Change From Baseline  
in Subject-Reported Total  
Sleep Time (TST) over 12  
Weeks



**Results:** Subjects treated with 2 mg eszopiclone slept longer at night on average and at every individual time point compared to baseline than placebo subjects, as measured by TST over the 12-week double-blind period ( $P < 0.0001$ ). Mean sTST over the double-blind period for eszopiclone-treated subjects was 360.08 min compared to 297.86 min at baseline, a mean change of 63.24 min. Over the double-blind period, eszopiclone-treated subjects also experienced a significantly greater improvement in sSL compared to placebo, with a mean decrease of 24.62 min versus a mean decrease of 19.92 min, respectively ( $P = 0.0014$ ). Eszopiclone subjects also experienced a significantly greater decrease in WASO (mean decrease of 36.4 min) compared to placebo subjects (decrease of 14.8 min) ( $P < 0.0001$ ). Post-discontinuation, sleep parameters were statistically improved versus baseline for eszopiclone ( $P$ -values  $\leq 0.01$ ), indicating no rebound. The most common AEs ( $\geq 5\%$ ) were headache (eszopiclone 13.9%, placebo 12.4%), unpleasant taste (12.4%, 1.5%), and nasopharyngitis (5.7%, 6.2%).



# Enregistrement des essais: un moyen de lutter contre l' underreporting of trial results

Comment

## Clinical trial registration: a statement from the International Committee of Medical Journal Editors



Altruism and trust lie at the heart of research on human subjects. Altruistic individuals volunteer for research because they trust that their participation will contribute to improved health for others and that researchers will minimise risks to participants. In return for the altruism and trust that make clinical research possible, the research enterprise has an obligation to conduct research ethically and to report it honestly. Honest reporting begins with revealing the existence of all clinical studies, even those

The ICMJE member journals will require, as a condition of consideration for publication, registration in a public trials registry. Trials must register at or before the onset of patient enrolment. This policy applies to any clinical trial starting enrolment after July 1, 2005. For trials that began enrolment before this date, the ICMJE member journals will require registration by Sept 13, 2005, before considering the trial for publication. We speak only for ourselves, but we encourage editors of other biomedical journals to adopt similar policies.

Published online  
September 9, 2004  
[http://image.thelancet.com/  
extras/04cmt265web.pdf](http://image.thelancet.com/extras/04cmt265web.pdf)



## ClinicalTrials.gov

A service of the U.S. National Institutes of Health

*ClinicalTrials.gov is a registry and results database of publicly and privately supported clinical studies of human participants conducted around the world. [Learn more about clinical studies](#) and [about this site](#), including relevant [history](#), [policies](#), and [laws](#).*

[Find Studies](#) ▾ [About Clinical Studies](#) ▾ [Submit Studies](#) ▾ [Resources](#) ▾ [About This Site](#) ▾

ClinicalTrials.gov currently lists **163,936 studies** with locations in all 50 states and in **185 countries**.

[Text Size](#) ▾

### Search for Studies

Example: "Heart attack" AND "Los Angeles"

[Advanced Search](#) | [See Studies by Topic](#)  
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### Search Help

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- [How to read a study record](#)

### Locations of Recruiting Studies



Total N = 32,550 studies  
Data as of March 27, 2014

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# Plateforme de l'OMS



International Clinical Trials  
Registry Platform  
Search Portal

[Home](#) [Advanced Search](#) [List By](#) [Search Tips](#) [UTN](#) [ICTRP website](#) [Contact us](#)

Example: liver cancer OR breast cancer NOT genetic

Search

[Search tips](#)

## Welcome

- The Clinical Trials Search Portal provides access to a central database containing the trial registration data sets provided by the registries listed on the right. It also provides links to the full original records.
- To facilitate the unique identification of trials, the Search Portal bridges (groups together) multiple records about the same trial. [More information](#)
- Please note: This Search Portal is not a clinical trials registry. [How to register a trial](#)
- For mobile users, please use this link <http://apps.who.int/trialssearch/ictrpmob.aspx>. It can be opened from any smartphone
- It is now possible to export the results of the search into XML. [More information](#)
- Crawling the ICTRP database now requires a username/password. To request access to the crawling pages please send an email to [ictinfo@who.int](mailto:ictinfo@who.int)

## Data Providers

Data sets from [data providers](#) are updated every Tuesday evening according to the following schedule  
Every week:

- Australian New Zealand Clinical Trials Registry, last data file imported on **24 March 2014**
- ClinicalTrials.gov, last data file imported on **24 March 2014**
- EU Clinical Trials Register (EU-CTR), last data file imported on **24 March 2014**
- ISRCTN, last data file imported on **24 March 2014**

Every 4 weeks:

- Brazilian Clinical Trials Registry (ReBec), last data file imported on **10 March 2014**
- Chinese Clinical Trial Registry, last data file imported on **10 March 2014**
- Clinical Trials Registry - India, last data file imported on **11 March 2014**
- Clinical Research Information Service - Republic of Korea, last data file imported on **10 March 2014**
- Cuban Public Registry of Clinical Trials, last data file imported on **10 March 2014**
- German Clinical Trials Register, last data file imported on **10 March 2014**



# FDA Amendment Act

- Amendement à la loi fédérale américaine en 2007:
  - Résultats des essais doivent être postés dans ClinicalTrials.gov au plus tard un an après le recueil du critère de jugement principal pour le dernier patient inclus
- Essais concernés:
  - Au moins un site aux Etats-Unis
  - Médicaments, biothérapies, dispositifs médicaux approuvés par la FDA
- Mais tous les essais peuvent poster leurs résultats!!



# Adaptation de ClinicalTrials.gov pour poster les résultats

## Phase III Randomized Study of Lucinactant in Full Term Newborn Infants With Meconium Aspiration Syndrome

**This study has been terminated.**

*(Slow enrollment and administrative reasons)*

**Sponsor:**

Discovery Laboratories, Inc.

**Information provided by (Responsible Party):**

Discovery Laboratories, Inc.

ClinicalTrials.gov Identifier:

NCT00004500

First received: October 18, 1999

Last updated: May 1, 2012

Last verified: May 2012

[History of Changes](#)

[Full Text View](#)

[Tabular View](#)

**[Study Results](#)**

[Disclaimer](#)

[? How to Read a Study Record](#)

Results First Received: April 2, 2012

<b>Study Type:</b>	Interventional
<b>Study Design:</b>	Allocation: Randomized; Endpoint Classification: Safety/Efficacy Study; Intervention Model: Parallel Assignment; Primary Purpose: Treatment
<b>Condition:</b>	Meconium Aspiration
<b>Interventions:</b>	Drug: Lucinactant Other: Standard Care



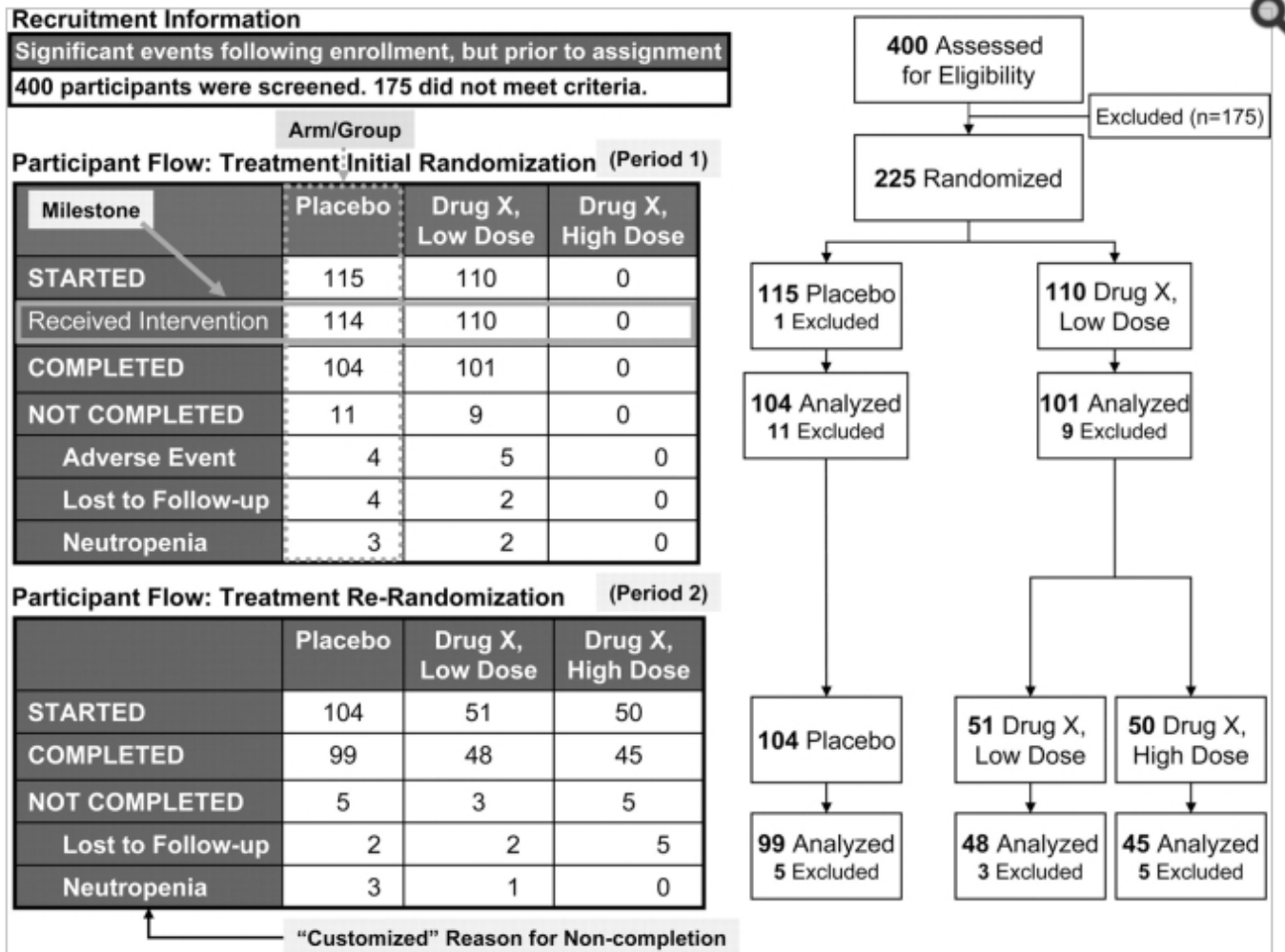
# « Template » pour remplir les résultats

The study results will be displayed in a tabular format that includes the following information:

- Participant flow
- Baseline characteristics
- Outcome measures and statistical analyses
- Adverse events
- Administrative information

Note that when the results of a study are not displayed on ClinicalTrials.gov, the results tab is labeled "No Study Results Posted". See About the Results Database from the About This Site menu.

# Recommandations pour rapporter le flow chart



# Recommandations pour rapporter les caractéristiques à baseline

		Arm/Group		
Measure Title	Unit of Measure	Placebo	Drug X	Total
Measure Type and Dispersion				
Number of Participants		195	210	405
Age				
[units: years]		54.4 ± 10.2	57.1 ± 12.5	55.5 ± 9.9
Mean ± Standard Deviation				
Gender				
[units: participants]				
Female		101	103	204
Male		94	107	201
Systolic Blood Pressure				
[mm Hg]		128 ± 18.6	126 ± 21.3	127 ± 19.1
Mean ± Standard Deviation				
Diastolic Blood Pressure				
[mm Hg]		82 ± 9.3	80 ± 8.1	81 ± 8.5
Mean ± Standard Deviation				
Nausea Severity <sup>[1]</sup>				
[participants]				
Severe		52	50	102
Mild		143	160	303
None		0	0	0

[1] Zarin Nausea Scale range: 1 (severe) to 10 (none). Severe = 1-3; Mild = 4-9.



# Recommandations pour rapporter les résultats du CJP

Primary Outcome Measure: Systolic Blood Pressure

Measure Type	Primary
Measure Name	Systolic Blood Pressure
Measure Description	Average of two measures in seated position
Time Frame	6 months
Safety Issue	No

Reporting Groups

	Description
Drug X	Drug X, 20 mg, administered twice daily
Drug Y	Drug Y, 10 mg, administered twice daily

Arm/Group Description

Measured Values

	Drug X	Drug Y
Number of Participants	233	215
Systolic Blood Pressure [units: mm Hg] Mean ± Standard Deviation	147 ± 16.3	124 ± 17.5

Measure Title

Unit of Measure

Measure Type and Dispersion

Arm/Group

# Recommandation pour rapporter les événements indésirables

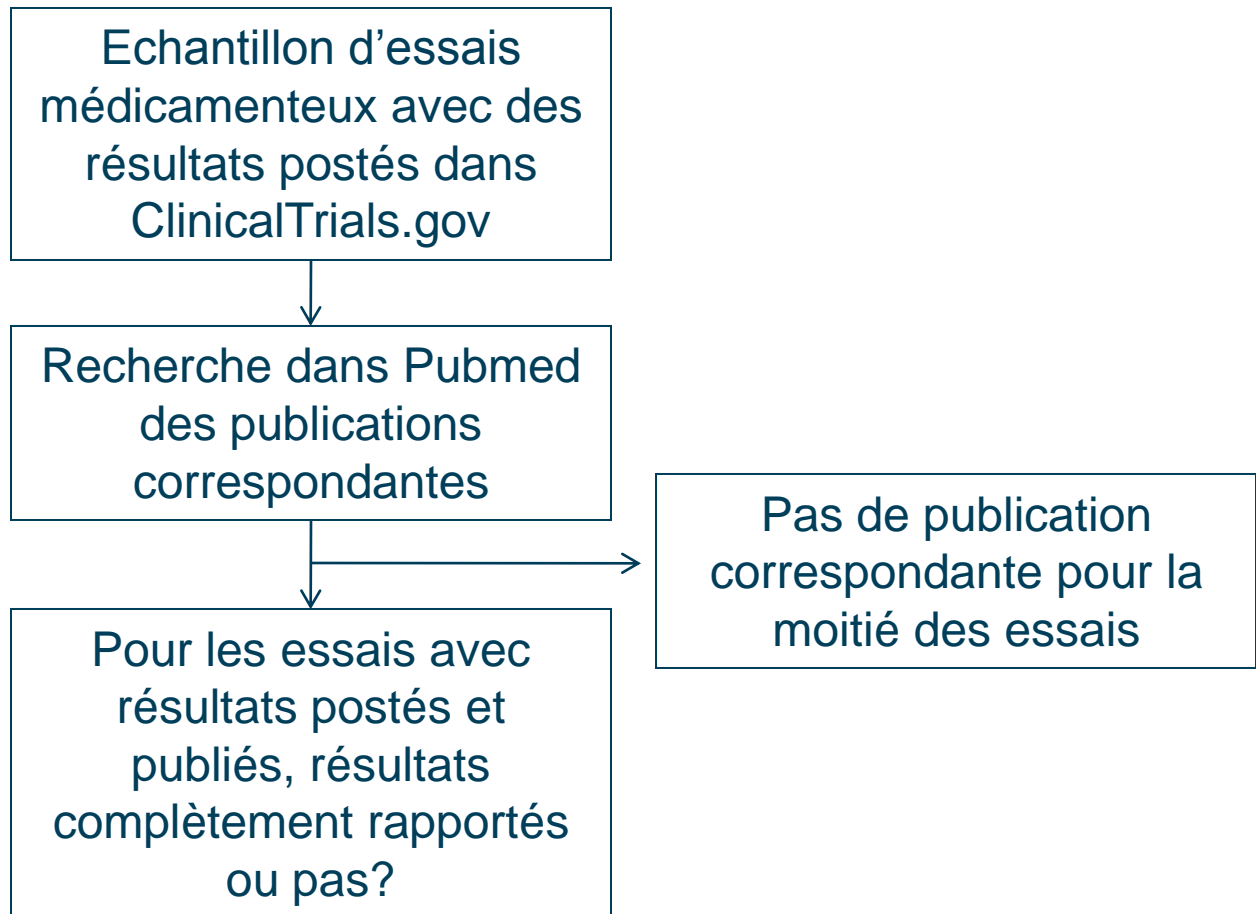
<b>Serious Adverse Events</b>		
	Placebo	Drug X
Total, serious adverse events		
Number of participants affected	1	3
Cardiac disorders		
Myocardial Infarction		
number of participants at risk	115	110
number of events	1	3
number of participants affected	1	3
Events were spontaneously reported		
<b>Other Adverse Events (not including Serious Adverse Events)</b>		
Reporting Frequency Threshold: 5%		
	Placebo	Drug X
Total, other adverse events (not including serious adverse events)	65	32
Number of participants affected		
Gastrointestinal disorders		
Nausea‡		
number of participants at risk	115	110
number of events	105	37
number of participants affected	65	32
‡ Indicates events were collected by systematic assessment. All other events were spontaneously reported.		

Assessment Type

Organ System

Adverse Event Term

- Hypothèse: les résultats sont mieux rapportés dans ClinicalTrials.gov que dans les publications
- Objectif: comparer la manière dont sont rapportés les résultats dans ClinicalTrials.gov et dans les publications





# Est-ce que les résultats étaient complètement rapportés ou pas?

- Point de vue méta-analytique
- Extraction des résultats indépendamment dans ClinicalTrials.gov et dans la publication
- Par 2 personnes en aveugle des définitions

## Flow of participants

Number of patients randomized per arm and  
Number of patients lost to follow-up per arm and  
Number of patients analyzed per arm

## Efficacy results

### For binary outcomes:

Number of events per arm and  
Number of patients analyzed per arm

### For continuous outcomes:

Mean or median per arm and SD or SE or 95% CI or Q1–Q3 or  
Effect size (difference in means or standardized mean difference) with 95% CI

### For time-to-event outcomes:

Hazard ratio with 95% CI

## Adverse events

Number of adverse events per arm without restriction to statistically significant differences between arms for all randomized participants or for those who received at least one treatment dose

## Serious adverse events

Number of serious adverse events per arm



# Des résultats plus complets dans ClinicalTrials.gov que dans la publication

Domain	Definition of Completeness	Number (Percent) of Trials with Complete Reporting at ClinicalTrials.gov (n= 202)	Number (Percent) of Trials with Complete Reporting in Published Article (n= 202)	p-Value
Flow of participants	Reporting of: <ul style="list-style-type: none"> <li>- Number of patients randomized per arm and</li> <li>- Number of patients lost to follow-up per arm and</li> <li>- Number of patients analyzed per arm</li> </ul>	129 (64)	96 (48)	<0.001
Efficacy results	Reporting of: <ul style="list-style-type: none"> <li>- For binary data: number of events and analyzed patients per arm</li> <li>- For continuous data: mean or median per arm and SD or SE or 95% CI or Q1-Q3 per arm, or effect size (difference in means or standardized mean difference) with 95% CI</li> <li>- For time-to-event data: hazard ratio and 95% CI</li> </ul>	159 (79)	140 (69)	0.02
Adverse events	Reporting of: <ul style="list-style-type: none"> <li>- Number of adverse events per arm, without restriction to statistically significant differences between arms, for all randomized patients or for those who received at least one treatment dose</li> </ul>	147 (73)	91 (45)	<0.001
Serious adverse events	Reporting of: <ul style="list-style-type: none"> <li>- Number of serious adverse events per arm</li> </ul>	199 (99)	127 (63)	<0.001

- Intérêt du « template »
  - Indique aux chercheurs ce qu'ils doivent rapporter
  - Permet un « reporting » standardisé
- Vérification par l'équipe de ClinicalTrials.gov
- Les journaux pourraient s'en inspirer...
  - *JAMA*: tableau dans abstract

# Recommandations

- Consulter systématiquement [ClinicalTrials.gov](https://clinicaltrials.gov) dans le cadre d'une méta-analyse
  - Identification des essais non publiés
  - Extraction des résultats si les résultats sont postés
  - Comparaison des résultats postés et publiés
    - En cas de désaccord: contacter les auteurs





# Limites de cette approche

- Faible compliance à la FDAAA
  - Malgré des pénalités financières
  - Difficile de savoir quels essais sont vraiment concernés par la loi<sup>1</sup>

<sup>1</sup> Prayle, *BMJ*, 2009

# Faible compliance à la FDAAA

BMJ 2011;344:d7373 doi: 10.1136/bmj.d7373 (Published 3 January 2012)

Page 1 of 7

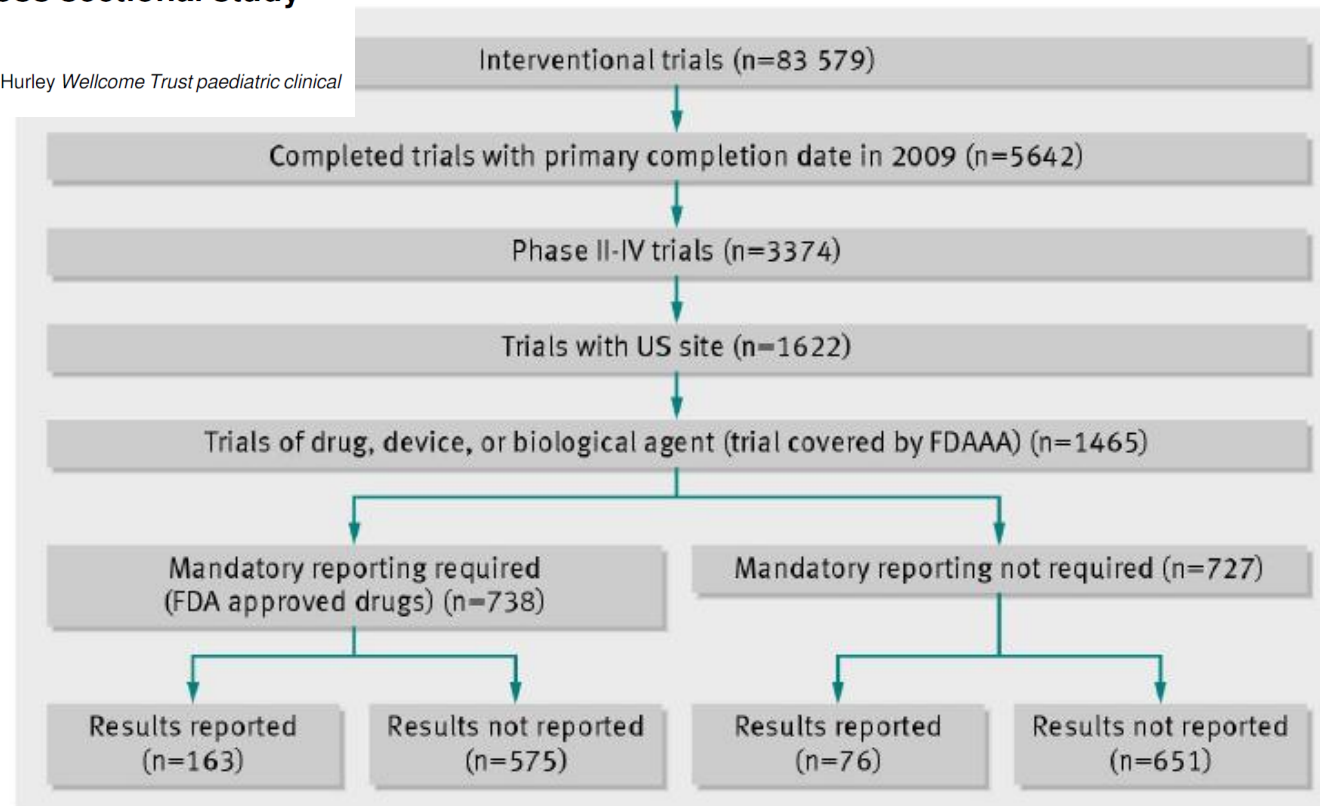
BMJ

RESEARCH

## Compliance with mandatory reporting of clinical trial results on ClinicalTrials.gov: cross sectional study

OPEN ACCESS

Andrew P Prayle *NIHR doctoral research fellow*, Matthew N Hurley *Wellcome Trust paediatric clinical research fellow*, Alan R Smyth *professor of child health*

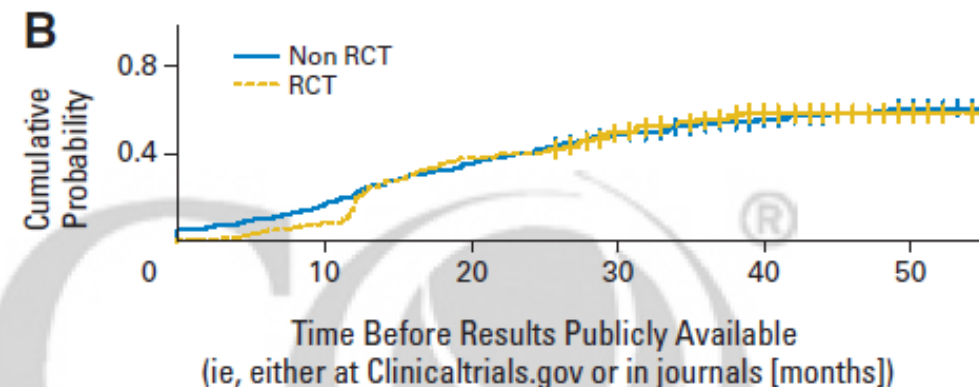


## Public Availability of Results of Trials Assessing Cancer Drugs in the United States

*Thi-Anh-Hoa Nguyen, Agnes Dechartres, Soraya Belgherbi, and Philippe Ravaud*

See accompanying editorial doi: 10.1200/JCO.2013.49.7339

646 essais terminés  
entre 2007 et 2010  
(théoriquement sous la  
FDAAA)



56 % des résultats des  
essais publiés ou  
postés 3 ans après la  
fin de l'essai



# Limites de cette approche

- Faible compliance à la FDAAA
  - Malgré les pénalités
  - Difficile de savoir quels essais sont vraiment concernés par la loi
- Cette loi concerne les essais réalisés aux Etats-Unis

**It's time all clinical trial results are reported.**


Patients, researchers, pharmacists, doctors and regulators everywhere will benefit from publication of clinical trial results. Wherever you are in the world please sign the petition:

**Thousands of clinical trials have not reported their results; some have not even been registered.**

**Information on what was done and what was found in these trials could be lost forever to doctors and researchers, leading to bad treatment decisions, missed opportunities for good medicine, and trials being repeated.**

**All trials past and present should be registered, and the full methods and the results reported.**

**We call on governments, regulators and research bodies to implement measures to achieve this.**

The petition has also been translated into [many different languages](#) . If you would like to sign the petition on behalf of an organisation then please [contact us](#). Data will be held by Sense About Science. Read our [privacy policy](#) here.

## LATEST NEWS:

NEWS

All trials registered and results reported

**Sign the petition**

I signed this because... (add your comment for the wall here)

**Sign Now**

64129 signatures

Share this with your friends:

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# Résultats disponibles pour les essais européens à partir de 2016!

## NEWS

### Europe votes for clinical trial transparency

2nd April 2014

It's soon going to be the law in Europe that drug clinical trials are publicly registered and results reported. MEPs have today voted by a huge majority to adopt the Clinical Trials Regulation, 547 in favour and 17 against. This is fantastic. It will mean that researchers will in future know about trials as they are happening and will be able to scrutinize results soon after their end. This is all due to the efforts of people all over Europe, including many patients who took part in clinical trials, who have pressed their MEPs to set the future straight in this way. Now we want to see recognition and use of the contribution that they and thousands of others have made in the trials that have already been conducted.

The new Clinical Trials Regulation says that information from Clinical Study Reports of trials should not be considered commercially confidential and will:

- Require that all drug trials in Europe are registered before they begin on the publicly accessible EU clinical trials register.
- Require that a summary of the results from these trials is published on the register within a year of the trial's end.

## Timing and Completeness of Trial Results Posted at ClinicalTrials.gov and Published in Journals

**Carolina Riveros<sup>1,2,3</sup>, Agnes Dechartres<sup>1,2,3\*</sup>, Elodie Perrodeau<sup>1,3</sup>, Romana Haneef<sup>1,3</sup>,  
Isabelle Boutron<sup>1,2,3,4</sup>, Philippe Ravaud<sup>1,2,3,4,5</sup>**

**1** INSERM U738, Paris, France, **2** Université Paris Descartes—Sorbonne Paris Cité, Paris, France, **3** Centre d'Épidémiologie Clinique, Hôpital Hôtel-Dieu, Assistance Publique-Hôpitaux de Paris, Paris, France, **4** French Cochrane Centre, Paris, France, **5** Mailman School of Public Health, Columbia University, New York, New York, United States of America

**Citation:** Riveros C, Dechartres A, Perrodeau E, Haneef R, Boutron I, et al. (2013) Timing and Completeness of Trial Results Posted at ClinicalTrials.gov and Published in Journals. PLoS Med 10(12): e1001566. doi:10.1371/journal.pmed.1001566